

OHIO PUBLIC WORKS COMMISSION

65 East State Street, Suite 312
Columbus, Ohio 43215
(614) 466-0880

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

CT 706

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

APPLICANT NAME Village of Lockland
STREET 101 N. Cooper Avenue

CITY/ZIP Lockland, Ohio 45215

PROJECT NAME N. Cooper Avenue Improvements
PROJECT TYPE Roadway and Drainage Improvements
TOTAL COST \$ 267,125.00

DISTRICT NUMBER 2
COUNTY Hamilton

PROJECT LOCATION ZIP CODE 45215

92 DEC 18 P 2: 19
OFFICE OF THE
CLERK OF THE
COMMISSION

DISTRICT FUNDING RECOMMENDATION To be completed by the District Committee ONLY

RECOMMENDED AMOUNT OF FUNDING: \$ 240,412.00

FUNDING SOURCE (Check Only One):

<input checked="" type="checkbox"/> State Issue 2 District Allocation	<input type="checkbox"/> State Issue 2 Small Government Fund
<input type="checkbox"/> Grant	<input type="checkbox"/> State Issue 2 Emergency Funds
<input type="checkbox"/> Loan	<input type="checkbox"/> Local Transportation Improvement Fund
<input type="checkbox"/> Loan Assistance	

FOR OPWC USE ONLY

OPWC PROJECT NUMBER: _____ OPWC FUNDING AMOUNT: \$ _____

1.0 APPLICANT INFORMATION

1.1 CHIEF EXECUTIVE
OFFICER
TITLE
STREET

James Brown
Mayor
101 N. Cooper Avenue

CITY/ZIP
PHONE
FAX

Lockland 45215
(513) 761 - 1124
(513) 761 - 4948

1.2 CHIEF FINANCIAL
OFFICER
TITLE
STREET

William Payler
Clerk
101 N. Cooper Avenue

CITY/ZIP
PHONE
FAX

Lockland 45215
(513) 761 - 1124
(513) 761 - 4948

1.3 PROJECT MGR
TITLE
STREET

Craig Jarvis
Engineer
9946 Hunters Run Lane

CITY/ZIP
PHONE
FAX

Cincinnati 45242
(513) 793 - 7209
(513) 793 - 7263

1.4 PROJECT CONTACT
TITLE
STREET

Greg Newcomer
Village Administrator
101 N. Cooper Avenue

CITY/ZIP
PHONE
FAX

Lockland 45215
(513) 761 - 1124
(513) 761 - 4948

1.5 DISTRICT LIAISON
TITLE
STREET

Joseph D. Cottrill
District 2 Liaison Officer
Hamilton County Engineer Office
138E. Court Street

CITY/ZIP
PHONE
FAX

Cincinnati 45202
(513) 632 - 8540
(513) 723 - 9748

2.0 PROJECT INFORMATION

IMPORTANT: If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 PROJECT NAME: N. Cooper Avenue Improvements

2.2 BRIEF PROJECT DESCRIPTION - (Sections A through D):

A. SPECIFIC LOCATION:

North Lockland area running north and south from Wyoming Avenue to Catherine Avenue. Catherine Avenue runs west to east, dead end on west side, intersects N. Cooper at half distance and intersects W. Forrer Avenue.

B. PROJECT COMPONENTS:

Rehabilitation of asphalt and concrete pavement, concrete curbs and storm inlets. Storm inlets to be either reconstructed, releveled or newly constructed. New storm sewers will be required in some areas. Concrete panels to be replaced. Asphalt to have grinding. Entire roadway to have fabric and asphalt resurface.

C. PHYSICAL DIMENSIONS/CHARACTERISTICS:

Asphalt Grinding	7,300 SY	Storm Sewers	240 LF
Concrete Panel	1,125 SY	Manholes Adjusted	32
Replacement		Fabric	13,000 SY
Concrete Curbs	650 LF	Asphalt Leveling	13,000 SY
Replaced		Asphalt Resurfacing	13,000 SY
Catch Basins	23		

D. DESIGN SERVICE CAPACITY:

IMPORTANT: Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

Roadway is a main feeder road to the north area of Lockland for residential and for schools. During normal activities, roadway handles 8,500 vehicles per day. During peak activities, the vehicles per day increases to 12,000.

2.3 REQUIRED SUPPORTING DOCUMENTATION

(Photographs/Additional Description; Capital Improvements Report; Priority List; 5-year Plan; 2-year Maintenance of Effort report, etc.) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying instructions for further detail.

3.0 PROJECT FINANCIAL INFORMATION

3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
	1. Preliminary Engineering	\$ N/A
	2. Final Design	\$ N/A
	3. Construction Supervision	\$ N/A
b)	Acquisition Expenses	
	1. Land	\$ N/A
	2. Right-of-Way	\$ N/A
c)	Construction Costs	\$ 267,125
d)	Equipment Costs	\$ -0-
e)	Other Direct Expenses	\$
f)	Contingencies	\$
g)	TOTAL ESTIMATED COSTS	\$ 267,125

3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent)

	Dollars	%
a)	Local In-Kind Contributions *	\$
b)	Local Public Revenues	\$ 26,713 10
c)	Local Private Revenues	\$
d)	Other Public Revenues	
	1. ODOT	\$
	2. FMHA	\$
	3. OEPA	\$
	4. OWDA	\$
	5. CDBG	\$
	6. Other	\$
e)	OPWC Funds	
	1. Grant Issue 2	\$ 240,412 90
	2. Loan	\$
	3. Loan Assistance	\$
f)	TOTAL FINANCIAL RESOURCES	\$ 267,125 100

* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes:

3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.

5.0 APPLICANT CERTIFICATION

The Applicant Certifies That:


As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

IMPORTANT: Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

IMPORTANT: In the event of a project cost overrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c)) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Greg Newcomer (Village Administrator)

Certifying Representative (Type Name and Title)

 12-18-92

Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

- | | | |
|---------------|------------|--|
| <u> </u> | | A five-year Capital Improvements Report as required in 164-1-31 of the Ohio Administrative Code and a two-year Maintenance of Local Effort Report as required in 164-1-12 of the Ohio Administrative Code. Dec. 31, 1992 |
| <u> X </u> | | A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> . |
| <u> X </u> | | A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> . |
| <u> X </u> | | A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts. |
| <u> X </u> | YES
N/A | A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district). |
| <u> X </u> | YES
N/A | Copies of all invoices and warrants for those items identified as "pre-paid" in section 4.4 of this application. |

6.0 DISTRICT COMMITTEE CERTIFICATION

The District Integrating Committee for District Number 2 Certifies That:

As the official representative of the District Public Works Integrating Committee, the undersigned hereby certifies: that this application for financial assistance as provided under Chapter 164 of the Ohio Revised Code has been duly selected by the appropriate body of the District Public Works Integrating Committee; that the project's selection was based entirely on an objective, District-oriented set of project evaluation criteria and selection methodology that are fully reflective of and in conformance with Ohio Revised Code Sections 164.05, 164.06, and 164.14, and Chapter 164-1 of the Ohio Administrative Code; and that the amount of financial assistance hereby recommended has been prudently derived in consideration of all other financial resources available to the project. As evidence of the District's due consideration of required project evaluation criteria, the results of this project's ratings under such criteria are attached to this application.

William W. Brayshaw, Chairman, District 2 Integrating Committee
Certifying Representative (Type Name and Title)

William W. Brayshaw 3-1-93
Signature/Date Signed

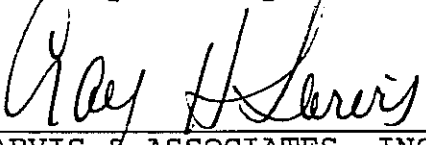
USEFUL LIFE OF PROJECT

The rehabilitation of N. Cooper and Catherine Avenues including storm drainage will result in an improved condition for vehicle and recreational use and will prolong the useful life of the structure.

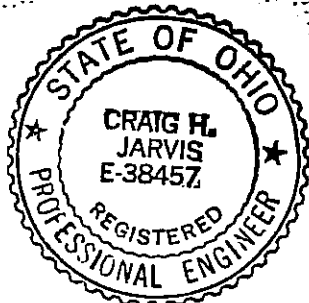
	LIFE	CONST. COST	TOTAL
Storm	40 yrs.	\$ 51,100.00	\$ 2.044 M
Curbs/Sidewalk	20 yrs.	\$ 9,750.00	\$ 0.195 M
Asphalt Pavement	7 yrs.	\$ 155,650.00	\$ 1.090 M
Concrete Pavement	20 yrs.	\$ 50,625.00	\$ 1,013 M
Totals		\$ 267,125.00	\$ 4.342 M

USEFUL LIFE IS 16.25 YEARS

I hereby certify the above useful life.


JARVIS & ASSOCIATES, INC.


DATE



ESTIMATED CONSTRUCTION COST

N. COOPER AVENUE AND CATHERINE AVENUE

DESCRIPTION	QUANTITY	COST	TOTAL COST
GRIND PAVEMENT (5")	7300 SY	\$5.50	\$ 40,150.00
REMOVE/REPLACE CONC. PAVEMENT	1125 SY	\$45.00	\$ 50,625.00
PAVEMENT FABRIC	13000 SY	\$2.50	\$ 32,500.00
ASPHALT OVERLAY	13000 SY	\$6.20	\$ 80,600.00
MANHOLE ADJUSTMENT	18	\$75.00	\$ 1,350.00
VALVE ADJUSTMENT	14	\$75.00	\$ 1,150.00
CONC. CURB REMOVAL /REPLACEMENT	450 LF	\$15.00	\$ 6,750.00
NEW CONC. CURB	200 LF	\$15.00	\$ 3,000.00
REPAIR CATCH BASINS	23	\$1700	\$ 39,100.00
STORM SEWERS	240 LF	\$50.00	\$ 12,000.00

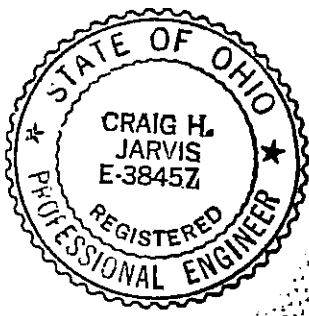
TOTAL

\$267,125.00

I HEREBY CERTIFY THIS ESTIMATED COST

Craig H. Jarvis
JARVIS & ASSOCIATES, INC.

12/18/92
DATE

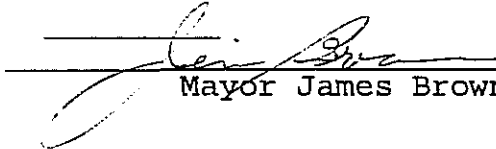


SECTION 3.3

AVAILABILITY OF LOCAL FUNDS

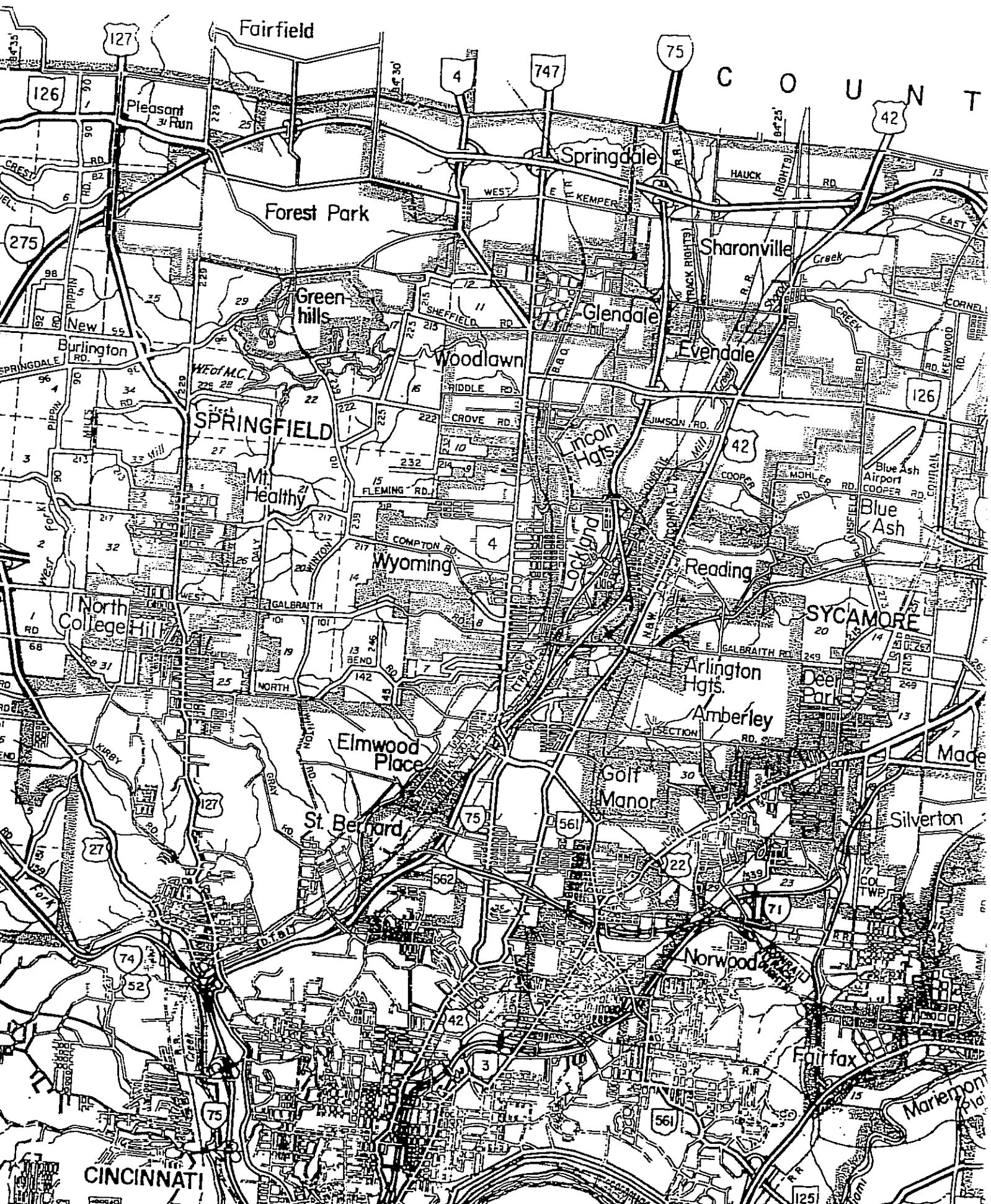
From Section 3.2 (b) - LOCAL PUBLIC REVENUES

I hereby certify that \$26,713.00 or 10% of the Issue 2 funding request will be supplied by the Village of Lockland from its local share of the automobile liscense tax.



Mayor James Brown

12-18-92
Date



N. COOPER AVENUE

ASPHALT CRACKING



N. COOPER AVENUE

ASPHALT CRACKING



N. COOPER AVENUE

ASPHALT CRACKING



N. COOPER AVENUE

NEW CATCH BASIN REQUIRED FOR DRAINAGE CONTROL



N. COOPER AVENUE

ASPHALT CRACKING

CATCH BASIN REHABILITATION



N. COOPER AVENUE

ASPHALT CRACKING

NEW CURBING REQUIRED FOR DRAINAGE CONTROL



N. COOPER AVENUE
CONCRETE PAVEMENT CRACKING



ADDITIONAL SUPPORT INFORMATION

For Fiscal Year 1994 (July 1, 1993 through June 30, 1994), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed _____ Poor X
Fair _____ Good _____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

Two lane asphalt and concrete surface, 30 feet wide. Surface
in bad condition due to bad subbase, inadequate drainage,
bad catch basins.

- 2) If State Issue 2 funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1993) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

4 weeks months (Circle one)

Are preliminary plans or engineering completed?	Yes	No
Are detailed construction plans completed?	Yes	No
Are all right-of-way and easements acquired?	Yes	No <u>N/A</u>
Are all utility coordinations completed?	Yes	No <u>N/A</u>

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 6 weeks/months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.) Please be specific and provide documentation if necessary to substantiate the data.

Drainage control along street will reduce ice hazzard
especially around schools.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>X</u>
MRF	_____	ODNR	_____	CD	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1992 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

10 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban _____ Partial Ban _____ No Ban X

Will the ban be removed after the project is completed?

Yes _____ No _____

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

12,000

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164? (This must be included with the application to be considered for funding.)

Yes X No To be filed by December 31, 1992

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

Streets, storm sewers, storm catch basins to be

rehabilitated to enhance roadway travel and prolong

usefulness of roadway.

STATE ISSUE 2 PROGRAM - ROUND 6

LTIP PROGRAM - ROUND 5

FISCAL YEAR 1994 PROJECT SELECTION CRITERIA - JULY 1, 1993 TO JUNE 30, 1994

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE JULY 17, 1992

AMENDED BY THE DISTRICT 2 INTEGRATING COMMITTEE SEPTEMBER 18, 1992

JURISDICTION/AGENCY: LOCKLAND

NAME OF PROJECT: N. COOPER AVE. IMPR.

TOTAL POINTS FOR THIS PROJECT: _____

NO. POINTS

- 10 1) If Issue 2/LTIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by end of 1993
 - 5 Points - Will be under contract by March 30, 1994
 - 0 Points - Will not be under contract by March 30, 1994
- 6 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
 - 16 Points -
 - 12 Points - Fair to Poor Condition
 - 8 Points -
 - 4 Points - Fair Condition

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.

3) If the project is built, what will be its effect on the facility's serviceability?

- 10 Points - Significant effect (e.g., widen to and add lanes along entire project)
- 8 Points - Moderate to significant effect
- 6 Points - Moderate effect (e.g., widen exist. lanes)
- 4 Points - Moderate to little effect
- 2 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

4 @ 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

- 10 Points - Highly significant importance, with substantial impact on all 3 factors
- 8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

 5) What is the overall economic health of the jurisdiction?

- 10 Points - Poor
- 8 Points -
- 6 Points - Fair
- 4 Points -
- 2 Points - Excellent

 1 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

- 0 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete or significant ban
3 Points - Partial or moderate ban
0 Points - No ban of any kind

- 5 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 10,000 or more
4 Points - 7,500 to 9,999
3 Points - 5,000 to 7,499
2 Points - 2,500 to 4,999
1 Point - 2,499 and under

- 3 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic. functional classification, size of service area, number of jurisdictions served, etc.

5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)
4 Points -
3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)
2 Points -
1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

- 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

2 Points - Two of the above
1 Point - One of the above
0 Points - None of the above

**ADDENDUM TO THE RATING SYSTEM
DEFINITIONS**

CRITERION 2 - CONDITION

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

CRITERION 5 - ECONOMIC HEALTH

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

CRITERION 9 - REGIONAL IMPACT

- | | |
|-------------------|--|
| Major impact - | Primary water or sewer main serving an entire system |
| Moderate impact - | Waterline or storm sewer serving only part of a system |
| Minimal impact - | Individual waterline or storm sewer not part of a system |